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(Substitute) PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE r the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/696,653 Filing Date TRANSMITTAL October 29, 2003 First Named Inventor **FORM** Michael A. DellaVecchia Art Unit 3762 Examiner Name Not Yet Known (to be used for all correspondence after initial filing) Attorney Docket Number P1145/20006 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC 1 Amendment/Reply (Preliminary) Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): - Return Receipt Postcard Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ *NO FEE IS REQUIRED. Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 Please charge Attorney Account No. 03-0075 as necessary to effect entry and/or ensure consideration of this submission. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Caesar, Rivise, Bernstein, Cohen & Pokotilow, Ltd., Customer No. 03000 Signature Printed name Frank M. Linguiti Date Reg. No. September 20, 2006 32,424 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: [Transmitted to Facsimile No. (571) 273-8300] Signature Date September 20, 2006 Frank M. Linguiti

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT EXAMINING OPERATION

Group Art Unit: 3762

Applicants: Michael A. Della Vecchia, et al.

Serial No: 10/696,653

Filed: October 29, 2003 Examiner: Not Yet Known

Att. Docket No.: P1145/20006 Confirmation No.: 7753

For: OPTIMIZING THE PROPERTIES OF ELECTROMAGNETIC
ENERGY IN A MEDIUM USING STOCHASTIC PARALLEL
PERTURBATION GRADIENT DESCENT OPTIMIZATION ADAPTIVE OPTICS

PRELIMINARY AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

INTRODUCTORY COMMENTS

Prior to initial examination on the merits, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 9 of this paper.